

INFORMATION DISCLOSURE CITATION



RECEIVED

JAN 1 0 2002

FORM PTO-1449 (REV. 6-89) DEPARTMENT OF COMMERCE
Patent and Trademark Office

Attorney's Docket No. 22930-06087 09/596,921

Applicant

Tom Van Horn et al.

				Tor	Tom Van Horn et al.			
		(Use several sheets if r	necessary)	Filing DateJune 19, 2	Filing Date Group Art Unit June 19, 2000 2768			
			U.S. PATE	ENT DOCUMENTS				
Examiner Initial	,	Document Number	Date	Name	Class	Subclass	Filing Date Appropriat	
2	A1	US 6,141,653 A	10/31/2000	Jeffrey Conklin et al.	705	80		
n	A2	US 6,085,169 A	7/4/2000	Jay S. Walker et al.	705	26		
n	А3	US 6,078,897 A	6/20/2000	David R. Rubin <i>et al.</i>	705	14		
17	A4	US 6,041,308 A	3/21/2000	Jay S. Walker et al.	705	14		
n	A5	US 6,026,383 A	2/15/2000	Lawrence M. Ausubel	705	37		
N	A6	US 6,021,398 A	2/1/2000	Lawrence M. Ausubel	705	37		
1	A7	US 6,101,484 A	8/8/2000	Richard V. Halbert et al.	705	26		
,								
<u>.</u>							<u> </u>	
		R	TOREICH DA	TENT DOCUMENTS		<u> </u>		
		Document Number			Ι	1		
		Document Number	Date	Country	Class	Subclass	Translation Yes No	
							W	
				and the same of th				
		OTHER BOOK	INSENTE "	P. A.H. Till.				
<u> </u>	C1			uding Author, Title, Date, Pertinent dated November 15, 2001	Pages, Etc.)			
	O I			•				

PTO-1449 REV: 02/01

considered. Include copy of this form with next communication to applicant.

Form PTO-1449 U.S. DEPARTMENT OF COMMERCE ATTY. DOCKET NO. SERIAL NO. (REV. 8-83) PATENT AND TRADEMARK OFFICE 09/596,921 MCTA-005/00US INFORMATION DISCLOSURE STATEMENT (Use several sheets in the cessary) APPLICANT: OCT 0 2 2000 Van Horn, et al. FILING DATE GROUP June 19, 2000 2768 U.S. PATENT DOCUMENTS THAD FEINGDA *EXAMINER DOCUMENT NUMBER DATE NAME CLASS SUBCLASS INITIAL P1 05/25/71 03/23/68 3,581,072 Nymeyer 235 152 P2 4,567,359 01/28/86 Lockwood 235 381 05/24/84 Р3 12/06/98 Fujisaki 4,789,928 364 401 01/30/87 P4 08/14/90 Caro 364 200 7/15/88 4,949,248 P5 5.148.365 09/15/92 Dembo 364 402 08/15/89 10/19/93 Hornick et al. P6 5,255,184 364 407 12/19/90 5,270,921 12/14/93 Hornick 364 407 01/11/91 Р8 5,377,095 12/27/94 Maeda et al. 364 401 07/07/92 5,576,951 11/19/96 Lockwood 395 227 03/16/94 P10 5,640,569 06/17/97 Miller et al. 395 729 04/28/95 P11 5,712,985 01/27/98 395 207 Lee et al. 10/13/95 03/03/98 226 P12 5,724,521 Dedrick 395 11/03/94 P13 5,727,165 03/10/98 Ordish et al. 395 237 12/27/94 P14 5,729,700 03/17/98 Melnikoff 236 395 02/24/95 P15 5,745,882 04/28/98 Bixler et al. 705 26 01/09/95 P16 5,758,327 05/26/98 Gardner et al. 395 226 11/01/95 05/26//98 P17 5,758,328 Giovannoli 395 201.226 02/22/96 P18 5,765,143 06/09/98 Sheldon et al. 705 28 03/10/95 08/11/98 705 23 P19 5,794,207 Walker et al. 09/4/96

Form PTO			NT OF COMMERCE ADEMARK OFFICE	ATTY. DOCKET		GERIAL NO. 09/596,921	
,	INFORMATIO	N DISCLOSURE STAT	EMEDIP			ਹੈ. ਹੈ	
	(USC SCVEIA	ar sheets ir heter		APPLICANT:		207	5 '-
		/	OCT 0 2 2000 S	Van Horn, et al.	· · · · · · · · · · · · · · · · · · ·	ROURE G	1
			MI 0 7 5000 0	June 19, 2000		1768 3	ה ה
		The state of the s			į	<u>></u>	=
n	P20 5,794,219	08/11/98	BY OWN M	705	37	192° E	כ
n	P21 5,797,127	08/18/98	Walker et al.	705	5	1-24/31/96	ę
α	P22 5,799,284	08/25/98	Bourquin	705	26	03/13/96	
17	P23 5,806,047	09/08/98	Hackel et al.	705	36	09/25/95	<u>_</u>
N	P24 5,809,144	09/15/98	Sirbu et al.	380	25	08/24/95	
1/5	P25 5,818,914	10/06/98	Fujisaki	379	93.12	03/27/95	
1	P26 5,826,244	10/20/98	Hubermann	705	37	08/23/95	
-({ N)	P27 5,832,459	11/03/98	Cameron et al	. 705	26	08/13/96	
	P28 5,835,896	11/10/98	Fisher et al.	705	37	03/29/96	
1)	P29 5,842,178	11/24/98	Giovannoli	705	26	01/30/98	
<u></u>	P30 5,845,265	12/01/98	Woolston	705	37	11/07/95	
<u> </u>	P31 5,845,266	12/01/98	Lupien et al.	705	37	12/12/95	
M	P32 5,890,137	03/30/99	Koreeda	705	26	07/26/96	
~	P33 5,890,138	03/30/99	Godin, et al.	705	26	08/26/96	
N	P34 5,895;454	04/20/99	Harrington	705	26	04/17/97	
	P35 5,897,639	04/27/99	Greef et al.	707	103	10/07/96	
23	P36 5,913,210	06/15/99	Call	707	4	03/27/98	
M	P37 5,915,209	06/22/99	Lawrence	455	31.2	10/03/97	
m	P38 5,950,176	09/07/99	Keiser et al.	705	37	03/25/96	
N	P39 5,956,709	09/21/99	Xue	707	3	07/28/97	

Form PTO				OF COMMERCE EMARK OFFICE	ATTY. DOCKE MCTA-005/00		I	IAL NO. 596,921	
•		INFORMATION DIS			APPLICANT:		6 W		
			PATE	0 2 2000 55	Van Horn, e FILING DATE June 19, 20	<u> </u>	GROT 276	20	REC
			100	, rev				3 L	
21	P40	5,983,199	11/09/99	Kanékô		705 2	6 :	€/30/97 20	IVE
n	P41	6,000,826	12/14/99	Parunak et al		364 1	48 501	9/23/97 X	
M	P42	60/097,932		Pallakoff			231	08/25/98	la de
N	P43	60/097,933		Pallakoff	-			08/25/98	
17	P44	60/118,189		Jonathan Ehrl	ich, et al.			02/01/99	· · · · · · · · ·
M	P45	09/249,727		Jonathan Ehrl	ich, et al.			02/13/99	
	!	<u> </u>	FORE	I DO PATENT DOC	CUMENTS	1		L	
			<u> </u>	T		T	1	TRANSLA	ATION
		DOCUMENT NUMBER	DATE	COUNT	ΓRY	CLASS	SUBCLAS		МО
	F1	WO 00/11570	03/02/00	PCT		G06F 17 00		120	No
21			,,			3002 27 00			
47	F2	WO 00/30004	05/25/00	PCT		G06F 17 60	A1		No
M	F3	WO 00/30005	05/25/00	PCT		G06F 17 60	A1		No
N	F4	WO 00/34841	06/15/00	PCT		G06F	A2		No
1	F5	WO 00/34842	06/15/00	PCT	****	G06F	A2		No
D	F6	WO 00/34843	06/15/00	PCT		G06F	A2		No
7	F7	WO 00/34886	06/15/00	PCT	·	G06F 15/26	A1		No
n	F8	WO 00/45318	08/03/00	PCT		G06F 17 60	A1		No
	\Box								\top
	ــــــــــــــــــــــــــــــــــــــ	OTHER PUBLICAT	IONS (including	l g Author, Titl	e, Date, Per	tinent Page	s, Etc.)		
N	D1	Search Report da Docket No: MCTA-		1999 for pate	ent applicat	ion Serial	No: 09/2	70,219, At	torney
N	D2	Search Report of			or patent a	pplication	Serial	No: 09/28	1,859,

Form PTO-		RTMENT OF COMMERCE PART OF TRADEMARK OFFICE	ATTY. DOCKE MCTA-005/00	SERIAL NO. 09/596,921	
•		INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	NDV IONE	112 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	
		000	APPLICANT: Van Horn, et al.	7	
		©CT 0 2 2000 (S)	FILING DATE June 19, 2000	GROUP 2768 N	
		<u> </u>		8 <u>0</u> <u>m</u>	
25	D3	Search Report dated November 22, 1999 f Attorney Docket No: MCTA-003/01US	or patent application Sen	rial No. 09/409 237,	
7	D4	Dialog , The Gale Group Newsletter, "New Twi Customer Apathy," Internet Week, 1(7), 22-Ma	st to Online Shopping: Ser ny-1995.	vice Hopes & Bream	
1	D5	Dialog , The Gale Group Newsletter, "In Year Newsbytes, 23-Mar-1998.	2020, Auctions and Agents	to Ru Web,"	
N	D6	Dialog, "New Tricks in Pet Supplies" by Mink 1994.	coff, J., Discount Merchan	diser, 34(12)70-72,	
IJ	סק	Online Document from Software Patent Institu http://m.spi.org/, Record 1: "Management Dat Printed 20-Sep-1999.	te Database of Software Te ca Processing," Serial No.	chnology, acmr6512.0039,	
V	D8	Online Document from Software Patent Instituhttp://m.spi.org/, Record 2: "Management Sci Sep-1999.			
H	D9	Online Document from Software Patent Institution http://m.spi.org/, Record 17: "Distribution Printed 20-Sep-1999.			
23	D10	Online Document from IBM Intellectual Proper Titled: Personalized Optimized Decision/Trar 1999.			
77	D11	Online Document from Agorics, Inc., http://v Auctions," "English Auction," "A Dutch Aucti Auction," "The Double Auction," "Auction Off Histories," "Government Securities—Auctioned Bibliography," Dated 1996, Reprinted 25-Oct	on," "The First Price Auct shoots," "Auction Strategion Off," "Collusion in Auction	ion," "The Vickrey es," "Auction	
21	D12	Online Document from Forbes.com, http://www.section.com . "Coming Soon-Cyber Co-			
D?	D13	Management and Specialist Team, LetsBuyIt.co Online Document, http://www.alumni.se/e-comm Nov-1999.	om, <u>http://www.euroferret.co</u> nerce/default.htm, Jan. 15,	om , Jan 15, 1999; 1999, Printed 19-	
N	D14	Online Document from Bid.com, http://www.bid	l.com/dutch, Printed 03-Sep	-1999.	
9	D15	Online Document from Bullnet Online Auctions Printed 03-Sep-1999.	, http://www.bullnet.co.uk	/auctions/info.htm,	
7	D16	Online Document from Who's Who for The Comme http://www.gii.com/standard/who/index.html .			
n	D17	Online Document from Cooperative Educational http://www.cesa5.k12.wi.us/buying.htm , Print			
n n n	D18	Online Document from Franklin Regional Counc Printed 20-Sep-1999.	ril Governments, <a href="http://www.ntp://ww.</td><td>.frcoq.or/purch.html,</td></tr><tr><td>M</td><td>D19</td><td>Online Document from Klik-Klok Online Dutch
Printed 03-Sep-1999.</td><td>Auction, http://www.klik-k</td><td>lok.com/how.htm,</td></tr><tr><td>~3</td><td>D20</td><td>Online Document, ComputerSweden, " icon-grund<br="">http://nyheter.idg.se, 23 Jan 1999 (w/Englis		ljard på e-handel,"

Form PTO- (REV. 8-8		PA A D TRADEMARK OFFICE MCTA-005/000 09/596.921			
•		INFORMATION DISCLOSURE STATEMENT			
		(Use several sheets if necessary)			
		APPLICANT: Van Horn, et al.			
		OCT 0 2 2000 S FILING DATE June 19, 2000 GROUPE 2768D			
	-				
n	D21	Online Document from Artiklar/från AffårsData ("Resumé"), http://www.ad.se, 15 Feb 1999 (w/English translation).			
17	D22	Online Document from ComputerSweden, "Lke;; Spångberg," http://nyheteriidg.se, 3 Mar 1999.			
M	D23	Online Document from Accompany: About Team, http://www.accompany.com/about_press_faq.asp , Printed 03 Mar 1999.			
a)	D24	Online Document from Accompany: About US, http://www.accompany.com/about press 0.asp , Printed 03 Mar 1999.			
- P	D25	Online Document from Accompany: About Team, http://www.accompany.com/about team.asp , Printed 03 Mar 1999 .			
n	D26	Online Document from Accompany: About Team Advisors, http://www.accompany.com/about team advisors.asp, Printed 03 Mar 1999.			
M	D27	Online Document from Accompany: About Team, http://www.accompany.com/about press_analyst.asp, Printed 03 Mar 1999.			
\si	D28	Online Document from Accompany: About Team Advisors, http://www.accompany.com/about teampartnes.asp, Printed 03 Mar 1999.			
1	D29	Online Document from Accompany: Contact, http://www.accompany.com/about_contact.asp , Printed 03 Mar 1999.			
N	D30	Online Document from Ask Reggie Domain Search, Accompany.com Domain contacts, http://www.askreggie.com, Printed 03 Mar 1999.			
$\overline{\gamma}$	D31	Online Document from Accompany: About US, http://www.accompany.com/about press background.asp, Printed 03 Mar 1999.			
/ 3	D32	Online Document from ZDNN, http://www.zdnet.com/stories/news, Printed 03 Mar 1999.			
$\overline{\gamma}$	D33	NewsAlert, "Accompany, Inc. to Revolutionize Commerce; Buys Come Together for Best Value," http://www.accompany.com, Printed 03 Mar 1999.			
$\overrightarrow{\gamma}$	D34	Online Document from Yahoo, "Web startup pushes volume shopping," http://dailynews.yahoo.com , Printed 04 Mar 1999.			
7 7 N	D35	Internet World (Mecklermedia), Cliff Figallo, "Hosting Web Communities," Building Relationships, Increasing Customer Loyality, and Maintaining a Competitive Edge.Published by John Wiley & Sons, Inc., Copyright 1998.			
7	D36	Abstract, World Reporter, Dagens Industri, "Expected success for internet shopping," WL 5900897, 12 Mar 1999.			
W7	D37	Article from Sportfack, "Letsbuyit.com Kommer," 12 Feb 1999. (w/English translation).			
N	D38	IBM Technical Disclosure Bulletin, "Multi-Product Delivery System Optimization Procedure," 38(4):502-503, Apr-1995.			
7	D39	Kroll et al., "World Weary Web," Forbes, 28-Dec-98, pp. 98-100.			
4	D40	Pant et al., "Business on the Web: Strategies and Economics," Fifth International WWW			

Form PTO (REV. 8-		RTMENT OF COMMERCE ATTY. DOCKE SERIAL NO. PA. 1 ND TRADEMARK OFFICE MCTA-005/000 09/596,921
•		INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)
		OCT 0 2 2000 (Van Horn, et al.
		June 19, 2000 GROUND 2768
	- 	
N	D41	Rockoff et al., "Design of an Internet-based System for Remote Dutch Auctions" Research: Electronic Networking Applications and Policy, 5(4):10-16, 1995.
N	D42	Stang et al., "Scans" Section, Wired, September 1995, pp. 51, 54, 56 and 58.7
N	D43	Woolley, "Price War!," <u>Forbes</u> , 14-Dec-1998, pp. 182 and 184.
7	D44	Online Document from OCM, http://www.cynric.org/OCM/administration/management/services/coop.html , Printed 20-Sep-1999.
7	D45	Online Document from Dagens Industri, "Rush into Net-shopping," http://www.di.se/Scripts/ , Document dated 12 Mar 1999, Printed 19 Jan 2000 (with English Translation).
M	D46	Online Document, "US-IL-Chicago-Cargo Revenue Management Analyst," "US-IL-Chicago - Revenue Manager," "US-IN-Indianapolis - Yield Analyst," "US-CA-San Jose - Financial Analyst," http://jobsearch.monster.com , Printed 24 Mar 1999.
7	D47	Online Document from hotjobs.com, "Filenet Corporation," http://www.hotjobs.com , Printed 24 Mar 1999.
n	D48	Online Document from The Revenue Management Home Page, "Revenue Management Defined," wysiwyg://212/http://www.geocities.com/WallStreet/Floor/4921/, Printed 18 Mar 1999.
7	D49	Online Document from The Revenue Management Home Page, "Articles on Revenue Management," wysiwyg://212/http://www.geocities.com/WallStreet/Floor/4921/articles.htm, Printed 18 Mar 1999.
14	D50	Online Document from The Revenue Management Home Page, "Companies Practicing Revenue Management," wysiwyg://212/http://www.geocities.com/WallStreet/Floor/4921/rmcomps.htm, Printed 18 Mar 1999.
M	D51	Online Document from Revenue Management Systems, Inc., "What is Revenue Management?" http://www.revenuemanagement.com/whatisrm.htm , Printed 19 Mar 1999.
7	D52	Online Document from profitoptim.com, "Definition of Revenue Management," http://www.profitoptim.com/papersdirectory/rmdaybrief/sld063.htm , Printed 19 Mar 1999.
N	D53	Online Document from profitoptim.com, "What is Revenue Management (cont.)?" http://www.profitoptim.com/papersdirectory/resexpo97/sld006.htm , Printed 19 Mar 1999.
7	D54	Online Document from American Way, "How Airline Pricing Works," http://www.aa.com/away/Vantage/Vantage-may98.htm , Printed 18 Mar 1999.
17	D55	Online Document from Airline Discount, http://faculty.darden.edu/pfeiferp/airline.htm , Printed 18 Mar 1999.
17	D56	Online Document from The Innovation Network, "1994 Innovation Collection," http://198.49.220.47/texis/si/sc/ie/+KoeMUi3WwBme+It0X0www/full.html , Printed 19 Mar 1999
N	D57	Online Article by W. Lieberman of Veritec Solutions, Inc., "Yield Management: System or Program?" http://www.veritecsolutions.com , Site visited on 19 Mar 1999.
M M M M	D58	Online Article by W. Lieberman of Veritec Solutions, Inc., "Making Yield Management Work for You: Ten Steps to Enhanced Revenues," http://www.veritecsolutions.com , Site visited on 19 Mar 1999.
7	D59	Online Article by W. Lieberman of Veritec Solutions, Inc., "Debunking the Myths of Yield Management" http://www.veritecsolutions.com , Site visited on 19 Mar 1999.

Form PTO- (REV. 8-8	• •	PALAT DE TRADEMARK OFFICE MCTA-005/000 SERIAL NO. 09/596,921				
•		INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessor)				
		APPLICANT:				
		Van Horn, et al. FILING DATE GROOP				
		OCT 0 2 2000 CF FILING DATE GROOP 2768				
		27 0 Z				
n	D60	Online Document from StoreSearch Internet Shopping Guide, http://www.storeBearchicom// Site visited on 31 Aug 1999.				
M	D61	Online Document from Netscape Netcenter, http://shop.netscape.com/auctions7main.adp , Site visited on 31 Aug 1999.				
739	D62	Online Document from Yahoo! Auctions, http://auctions.yahoo.com/ , Site visted on 31 Aug 1999.				
- ((D63	Online Document from Lycos: Sales & Specials,				
4		http://www.lycos.com/Shopping/sales and specoals/, Sited visited on 31 Aug 1999.				
37	D64	Hutchinson, "E-Commerce: Building a Model," Communications Week, pp. 57-60, 17 Mar 1997				
39	D65	M2 Communications, "Icentral Inc: Web Retailers Band Together to Build Traffic and Sales," M2 Presswire, 25 Feb 1997.				
131	D66	Berryman et al., "Electronic commerce: Three Emerging Strategies," McKinsey Quarterly, No. 1, pp. 152-159, 1998.				
1	D67	"BuyItOnline Revolutionizes Internet Retailing for Small Business Merchants," Business Wire, 08 Sep 1998.				
(Z)	D68	Press Release from MobShop, formerly known as Accompany, Inc., obtained from the Internet at http://www.mobshop.com/press release?release=20 on April 10, 2000, which explains that Accompany, Inc. received a Notice of Allowance from the U.S. Patent and Trademark Office.				
37	D69	Press Release from iCoop, Inc. obtained from the Internet at http://www.icoop.com/press pressrelease2.htm on April 10, 2000, which explains that iCoop.com, Inc. has filed two patent applications and has provisional patent applications which were filed on January 22, 1999.				
A	D70	Press Release from beMANY! obtained from the Internet at http://www.bemany.com/press02112000 bemany.html on April 10, 2000, which explains that beMANY! has a "patent pending process."				
70	D71	Press Release from the company BazaarE.com obtained from the Internet at http://www.bazaare.com/pr 03111999.cfm?CFID=62916&CFTOKEN=75630214 on April 10, 2000, which explains that BazaarE.com has a "patent-pending platform."				
(3)	D72	Bailey et al., "An Exploratory Study of the Emerging Role of Electronic Intermediaries," International Journal of Electronic Commerce, 1(3):7-20 (1997).				
139	D73	"Retail Federation Group Buying," HFD-The Weekly Home Furnishing Newspaper, v0, n0, p9, Dec. 26, 1994.				
31	D74	"Frontier Rings Up A Sale with National Retail Federation," PR Newswire, p119NYM057, Jan. 19, 1998.				
7		"NRF: home page on World Wide Web (National Foundation Launches Site)," The Weekly Newspaper for the Home Furnishing Network, v70, n42, p. 10(1), Oct. 14, 1996.				
75		"Retail Federation Group Buying Set," HFDThe Weekly Home Furnishings Newspaper, v68, n52, p. 9(1), Dec. 26, 1994.				
SI		"Mosher Views ARA as Survival Kit for Small Specialty Stores," Daily News Record, v24, n22, p. 4(1), Feb. 2, 1994.				
7/7	D78	"KMART Targets GE as EDI Provider Signals GE's Re-Commitment to EDI," EDI News, v11, n21, Oct. 13, 1997.				

Form PTO- (REV. 8-8		PA. A TRADEMARK OFFICE	ATTY. DOCKE MCTA-005/000	SERIAL NO. 09/596,921
٠	-	INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessar)		
		OCT 0 2 2000 S	APPLICANT: Van Horn, et al. FILING DATE	GROUP
		\ <u>*</u>	June 19, 2000	2768
1)		"National Retail Federation Selects GE Info PR Newswire, p1006DCM009, Oct. 6, 1997.	ormation Services for EDI	Service Partnership,
P		Mielczarski, W.; Michalik, G.; Widjaja, M.; Proceedings of the 21st 1999 IEEE Internat Cat.#99CH36351, 1999.		
17		Online document from Savvio.com, "Savvio.com wysiwyg://8/http://www.savvio.com/about/abou		00.
XAMINER	1	- OP 1	DATE CONSIDERED	
4	our	1 Shangon 1	8/18/03	•

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformation and not considered. Include a copy of this form with the next communication to applicant.

C 2700 MAIL ROOM

Page 8 of 8